

Financial Planning: Top Emerging RIAs

RIA Database, which compiled the rankings, determines its list based on discretionary and non-discretionary assets under management listed on SEC Form ADV. The list of top RIAs by growth ranks firms by AUM, inclusive only of those with assets that have grown 30% or more since Dec. 31, 2008.

Washington Business Journal: Washington's Premier Wealth Advisors, September 2011

[National Association of Board Certified Advisory Practices](#) (NABCAP) and its board of directors created an unaffiliated evaluation process in which 20 categories of practice management are assessed. Advisors are invited and/or nominated to participate by submitting an online questionnaire. The multi-step verification process utilizes independent resources to assess the accuracy and truthfulness of the information submitted by advisory practices. NABCAP's methodology is unique in deciphering advisors because it is primarily objective, not subjective and helps add transparency for the investor's benefit.

Advisor One: 2011 Top Wealth Managers

Survey of wealth managers to include total assets under management, along with various other measures, including average AUM per client.

Data analysis completed by Philip Palaveev, Jonathan McQuade and Fusion Advisor Network.

Financial Advisor: 2011 Top 200 Fee-Only Advisors

Assets under management, growth in assets, assets per client, growth in assets per client and the percentage change in number of clients.

Washingtonian: Top Financial Experts as of November, 2010 and January 2009

Survey of hundreds of area financial professionals. Fee-only advisors include certified financial planners who do not accept commissions or referral fees.

Investment News: Up-and-Coming RIAs and The Fast Movers

Growth in discretionary assets under management from December 31, 2008 to December 31, 2010 as reported to SEC. Greater than 50% of their business must serve the retail marketplace. Must provide financial planning services, portfolio management for individuals, or conduct due diligence on third party advisers; must not be doing business as a broker/dealer, bank or insurance company, charge commissions, or have registered representative employees at the firm. A dominant portion of their business must not be invested in proprietary products.

Barrons: Top 1,000 State-by-State and Virginia Business: The Winner's Circle

Professionals with a minimum of 7 years financial services experience, acceptable compliance records, client retention reports, customer satisfaction reports, and may include in-depth interviews and discussions with senior

management, peers and customers. Advisors are also ranked on varying types of revenues and assets advised by the financial professional, with weightings associated for each as of January 31, 2009 and March 31, 2008 respectively.

Institutional Investor: 20 Rising Stars of Wealth Management

Culled from top investment banks, commercial banks and independent advisors and nominated by their peers, 45 years of age or younger, manage more than \$1 million in investable assets per client.

Medical Economics: 150 Best Financial Advisors for Doctors, November 2008

Industry knowledge, minimum 10 years of experience and credentials/certifications were taken into consideration, as well as those who work with or specialize in physician businesses or the medical field. Must be in good standing with the Securities and Exchange Commission and other governing organizations.

Northern Virginia: Expert Financial Advisors

Enlisted input from the Certified Financial Planner Board of Standards, Inc. which grants and upholds the CFP® designation as the recognized standard of excellence in personal financial planning.

Registered Rep: Top 100 Independent Advisors in America

Advisors were ranked by assets under management. The ranking is also validated via direct survey.

Barron's Winner's Circle – Virginia Business

Minimum of seven years of financial services experience and other weighted requirements ranging from acceptable compliance records and wealth management focus to customer satisfaction reports. Advisors are then ranked based on an algorithm that weighs varying types of revenues, custodied and non-custodied assets advised by the financial professional, and individual vs. institutional assets.

Investment News Community Leadership Award

Advisor who is nominated for service as a volunteer board member or committee member and has made an outstanding contribution of at least five years served “with distinction.”

Reuters: Top Advisor Award

Assets under management, assets per client, client type and total clients.

Reuters: Top Advisor Award

Based on assets under management as of March 31, 2008 as reported to SEC and a survey that may include acceptable compliance records, client retention reports and customer satisfaction reports.